

**Development of a Secure Raspberry Pi Based Home Surveillance System**

A dissertation submitted in partial fulfilment of the requirements of Glasgow Caledonian University for the degree of Master of Science in Big Data Technologies

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**“Except where explicitly stated, all work in this report, including the appendices, is my own original work and has not been submitted elsewhere in fulfilment of the requirement of this or any other award”**

**Signed: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_**

# Abstract

With the recent explosion of the Internet of Things, smart devices are becoming ubiquitous and synonymous with modern living. Increasing dependence on these devices along with frequent media scares have increased both the media and publics concerns about the security of these devices.

Smart home surveillance is a growing market within IoT which holds greater concern as many of these devices are within the confinements of an individual’s private home. With most home surveillance systems available on the market hosting their data on the cloud, this further increases the scope of potential security vulnerabilities and gives individuals with malicious intent an additional target.

This thesis proposes an alternative local network and storage based surveillance system using a Raspberry Pi 3b Model to combat the potential security vulnerabilities within mainstream systems. Multiple face recognition algorithms are evaluated and factors such as memory consumption, CPU usage and accuracy are considered.

An additional mobile application is developed, to interact with the Raspberry Pi using the MQTT communication protocol. This includes the receiving of images which are then stored within an SQLite Database. Using the hybrid application framework Xamarin, the application was successfully developed for both iOS and Android.

With security in mind, state of the art security strategies was implemented to secure the application, Raspberry Pi and network communication between the devices. Strategies include *salt* password hashing, database encryption, certificate-based MQTT communication and firewall incorporation.

Finally, the system was tested against various local network attacks, including Direct Denial of Service and Man in The Middle attacks to evaluate the defences in the place to prevent such attacks.

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# 1.0 Introduction

## 1.1 Background

With the recent explosion of the Internet of Things (IoT), there is a rising concern by the general public on the security of these devices. In 2015, HP published a report which highlighted that out of the ten home security systems analysed, 100% of them had security vulnerabilities such as not requiring strong passwords and only one offered two-factor authentication (HP, 2015). Concerning reports such as the aforementioned along with recent media scares such as baby monitors being hacked with the hacker being able to speak through the baby monitor and threatening the parents (Wang, 2018), have brought these issues into the public’s attention, raising concern about the validity and/or the security of these devices.

This is concerning enough that the UK Government poses to introduce new legislation based on Internet of Things (IoT) security, requiring products to pass security requirements before being sold and introducing mandatory labelling schemes for each product, classifying their security (Ashford, 2019). The industry of Smart Security expects a revenue forecast of 28 million by the end of 2023 (shown in Figure 1), further highlighting the importance of development of secure IoT devices.



Figure 1: Smart Home Security Revenue forecast worldwide (Blumtritt, 2019)

With mainstream IoT devices being consistently hosted on the cloud, this offers individuals with malicious intent another platform to attack IoT devices. Recently, Google Cloud, one of the cloud vendor giants was taken down in a possible cyber-attack (Merriman, 2019), highlighting the potential unreliability of Cloud-hosted services. Organisations that depended on Googles Cloud, including security company Nest, who had their services taken down for over 4 hours. This is problematic in an area such as face-recognition based surveillance; if data cannot be accessed from the Cloud, the whole system becomes non-functional.

As an alternative to the current mainstream method of hosting on the cloud, a Raspberry Pi-based security system utilising local storage is proposed in this thesis. The Raspberry Pi (RPi), being a small credit card-sized computer, has significant capabilities in home smart technology, only limited to its processing speed and memory. The model board can be shown in Figure 2. The proposed system involves interaction between various smart phone devices running on both iOS and Android with the RPi via the MQTT communication protocol. This would involve the RPi using a face recognition algorithm to detect if an intruder or unidentified person is at the door of the home and capturing an image. Training using images of family or friends can allow for them to be individually identified and therefore not be labelled as unknown to the system. Images captured would then be sent to the app and then be viewable to those who have access to the application.



Figure 2: Raspberry Pi Model 3b

Building a custom made home surveillance system poses various security challenges similar to all IoT devices, which occur at all layers of the IoT architecture. The most commonly agreed upon being the Perception, Network and Application layer (Burhan et al., 2018). Most of the strategies incorporated into this thesis persist around the Application and Network layers of this architecture. State of the art strategies are proposed such as Certificate-based authentication and implementing password storage strategies such as *salt* password hashing. Protection against common IoT attacks such as Denial of Service (DoS) and Man in The Middle attacks are investigated to propose a solution to these security threats. By investigating the best security practices, a model can be proposed to build future similar systems.

## 1.2 Problem Description

Modern IoT-based security systems pose various security risks. With the majority of these services using cloud technology, there is a larger scope of security concerns covering the full system stack. The development of a home security system using an RPi model is proposed to create a system with a smaller scope of security vulnerabilities using local data storage to reduce information exposure over the system. The RPi will interact with a smartphone app, with each family member having access to the application. This means security can be focused down to the perception, network and application layers of the IoT architecture.

This project aims to tackle the following areas of security:

* Data Integrity. It is important to know when transferring of files that the data has not been tampered with by a third party. Tampering of files could lead to various types of malware or viruses that did not originate from the original sender.
* Message Authentication. Verifying that the individual or party who is sending data is who they say they are. This covers both the recipient and sender of the message as sensitive data must be secured in both instances.
* Storage security. There are various strategies in password storage to prevent unauthorised access to passwords such as implementation of various forms of one-way cryptography i.e. hashing. Countermeasures to this strategy such as dictionary attacks must be investigated to learn how to protect against such cyber threats.
* Disk storage security. Unauthorised access to the physical device is definitely a possibility. It is important to secure the contents of the disk in an unlikely event of physical tampering of the device.

These requirements will be fulfilled in accordance with the best practices outlined by the IoT Security Foundation (IoT Security Compliance Framework, 2018). The organisation details the golden standards in IoT security which can be referenced to ensure the device is secured to the highest standard.

## 1.3 Project Objectives

This project aims to achieve the following objectives:

1. Identify from the available literature, state of the art guidelines for an IoT-based home surveillance system. With multiple network and communication protocols being available for IoT devices, it is important to determine the most secure and standard for this type of home system.
2. Review the IoT architecture to understand the security and design flaws for each layer. The perception, network, and application layer currently pose various security challenges for IoT and must be addressed individually. This would involve reviewing papers of similar systems and determining the possible security flaws to give a greater understanding of the possible security vulnerabilities to the proposed system.
3. Determine the most appropriate data exchange format. With there being multiple data formats available for application communication between devices, the most suitable is selected, being determined from variables such as serialization time, deserialization time and message size.
4. Ensure the security standards are in alignment with the guidelines set by IoT security foundation (IoT Security Compliance Framework, 2018). By comparing the system to the best practices standard, it can be ensured that the system meets security requirements. It is frequently debated that security is always traded for convenience (Cherecwich, 2019).. Factors such as latency should also be considered with the implementation of security.
5. Identify common security threats to IoT-based devices. It is important to understand the most prominent cyber-attacks carried out on these devices to prepare a defence against them. This would include carrying out various cyber-attacks on the device and measuring how they protect against such attacks.
6. With devices such as the Pi being limited by its computational power, it is important to determine the most appropriate face recognition methodology suitable for a small device. There are various types of algorithms available to choose from. Factors such as CPU stress and memory consumption are a major consideration when it comes to selecting an algorithm.
7. Development of the surveillance system. The system must be able to recognise faces of individuals and appropriately label them while being able to detect unidentified faces which can then be captured and sent to the smart phone devices. Accuracy and latency are two of the major factors as the system must detect in real time.
8. Development of the smart phone application. The application must be able to interact with the Pi and receive images in a timely manner. As this data is sensitive, security measures must be in place to verify the authenticity of the individual using the device.
9. Evaluate functionality. The system must be fully functional upon evaluation as a security camera within a home is a sensitive area. This would involve software testing such as unit tests to evaluate and draw conclusions on each part of the system.

# 2.0 Literature Review

Raspberry Pi-based home surveillance systems are not a new concept but have posed various challenges relating to the security of the system. In a 2018 paper (Pawar and Umale, 2018), a home surveillance system was created using the RPi utilising a PIR sensor to detect movement and sending an email once detected. The disadvantage of this system is that there is no way to detect who is at the door and no categorisation of whether the individual is a threat or not. Animals or other movements could also trigger the sensor leading to a false alert. The system also uses email as a form of alert which is problematic as email can be accessed anywhere and offers little or no form of authenticating the identify of an individual. A similar system was designed using face recognition technology or a password to enter a home (Hussein and Al Mansoori, 2017). Again an email is sent when there is an unknown individual at the door, posing the same problems as the previously mentioned paper. The proposed system hopes to address these challenges by using secure transport methods, two-factor authentication and limiting the number of potential security vulnerabilities to a single smartphone application.

This section covers the overall IoT architecture and the current security issues present in each layer. State of the art network and communication protocols are reviewed as well as security protocols to determine the most suitable protocols for the proposed system. Various security threats are reviewed in order to understand the potential attacks that can be carried out on the system. Cybersecurity methods such as cryptography and salt hashing are reviewed to determine their suitability for the project. Finally, facial recognition algorithms are reviewed with the computation limitations of the Pi in mind.

## 2.1 Internet of Things Architecture

IoT security must address three main levels of architecture that can be attacked with malicious intent. As detailed in a 2018 paper, the most basic agreed-upon architecture consists of three layers: Perception Layer, Network Layer and Application Layer (Burhan et al., 2018). This can be seen in Figure 2. For Cloud-based systems, an additional Processing layer is also considered (Aziz and Haq, 2018).

### 2.1.1 Perception Layer

This layer consists of the physical sensors which collect information and identify objects. Commonly used sensors include RFID, barcode & cameras. In this experiment, the security camera is the main focus of this layer and can pose multiple potential security issues. As highlighted by Aziz and Haq, eavesdropping is definitely the most concerning security issue in this layer. Having unauthorised access to video footage is a serious breach of privacy. This is accomplished by taking advantage of insecure modes of transmission, granting access to a third party without authorisation.

### 2.1.2 Network Layer

The network layer is responsible for the transmission of data, acting as a bridge between the perception and application layer. This involves carrying and transmitting information through a wireless network which poses a set of security challenges. These include various cyber-attacks such as Man in the Middle (MITM) attacks and Denial of Service (DoS) as later detailed in Section 2.5. The layer acts as a Central Nervous System for the whole system. In this scenario, the network layer consists of a standard wireless home network. Mainstream protocols such as Wi-Fi and ZigBee are often used at this layer, as well as lightweight protocols such as MQTT.

### 2.1.3 Application Layer

This layer is used to define all applications that use the data generated at the perception layer. Common examples of this layer include smart homes, smart cities, smart health, etc. Security threats to this layer would include attempts of password theft using attacks such as Dictionary attacks or Brute Forcing. This layer utilises the data gained from the previous layers and allows the user of the application to enjoy its benefits. In this experiment, the application layer would consist of the smartphone app used to operate the door and receive images/information from the security camera. The user interface of the application is always a significant component of this layer.



Figure 3: IoT three layered architecture in relation to a home surveillance system

## 2.2 Network Communication Protocols

IoT devices differs from traditional computing devices in terms of network communication, utilising additional communication technologies such as ZigBee and Bluetooth, compared to traditional computers solely relying on Wi-Fi-based communication. The most commonly used protocols are reviewed in this section and critically analysed against these requirements to select the protocol to be used for in this thesis. Factors such as power consumption are not considered as security and functionality are valued over this variable.

The following design requirements were identified for the network communication protocol used in this thesis:

* An adequate range to reach the RPi carrying out the surveillance. Limited communication range defeats the purpose of this system as the idea is to access the surveillance system both remotely and locally.
* Communication protocol is supported by both the RPi and modern smart phones. The communication protocol must be accessible to both smart devices and the RPi as this is how the images will be sent.
* High levels of security capability. Having insecure communication between the devices opens up a lot of possible security vulnerabilities and could grant unauthorised individuals access to sensitive data.

* Capable of fast data transmission. As the camera will detect in real time it is important for the users of the app to receive the images immediately as there is little use in knowing who was at the door hours ago.

### 2.2.1 Bluetooth

Bluetooth is used to communicate between two devices within a short distance. It provides a layer of encryption by converting a message into ciphertext before sending it to the receiver device. This message, cannot be understood by other devices except those which have the rights to see the message. The sender must always get permission rights from the receiving device before the message can be sent. This is done through the sender device requesting permission to send data to the receiver device, once agreed to, the devices can then communicate within the short distance. Bluetooth has a max signal rate of 1mb/s and an average range of 10 meters (Lee, Su, and Shen, 2007). This communication protocol has some downfalls as is prone to packet sniffing. A recent 2019 paper highlights this with the development of a Bluetooth sniffer called BlueEar, boasting a 90% packet retention rate in real-world environments. Though it should be noted the authors offer countermeasures to this device, which can be effective in reducing the packet retention rate to as low as 20%. Although promising, this suggests Bluetooth is still not a secure method of network communication as 20% of packet data is still being stolen from the devices.

### 2.2.2 Wi-Fi

Wi-Fi is a wireless communication network that transmits communication in the form of radio signalling. This is the most common type of communication used in modern homes which is problematic as it contains multiple security vulnerabilities. The main issue being, by default, there is no encryption mechanism. This leaves the network prone to network cyberattacks such as Denial of Service attacks. It is well documented that Wi-Fi is one of the most commonly used network protocols in smart homes (Alam, Reaz and Ali, 2012). Wi-Fi has the added benefit of being the fastest of all common communication technologies with a recent report showing the average speed being 16.51Mbps (Cable, 2019). The average range of a Wi-Fi connection is 100 meters (Lee, Su, and Shen, 2007).

### 2.2.3 ZigBee

ZigBee is a communication protocol, which utilises small, low-power and cost radios. It is frequently utilised in the smart homes in conjunction with hubs such as the Amazon Echo (ZigBee Alliance, 2019). The technology is very limited in terms of signal rate with a rate of 250Kb/s (Lee, Su, and Shen, 2007), making it unsuitable for video transmission. The transmission range is rated between 10 and 100 meters. In the paper (Romashchenko, Brutscheck, and Chmielewski, 2018), a secure surveillance system was created based on ZigBee and AES encryption, showing success against brute force attacks.

### 2.2.4 HART

Highway Addressable Remote Transducer or Hart for short is a communication protocol used primarily in an industrial setting due to its ability to accurately encode and decode communication signals in settings with noisy or harsh environments (Treacy, 2019). It is considered the global standards for digital communication across analogue wires between smart devices and monitoring/control systems (Instrumentation and Control Engineering, 2018).

### 2.2.5 Comparative Analysis

As shown through the comparative analysis in Table 1, Wi-Fi meets all the identified design requirements and is the most commonly used form of network communication. Thus, it is most suitable for the system proposed in this thesis. Being commonplace in homes along with its high range and fast data transmission feed, gives Wi-Fi a significant advantage over its networking counterparts.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Requirement | Bluetooth | Wi-Fi | ZigBee | Hart |
| High range |  |  |  |  |
| Commonplace in home |  |  |  |  |
| Security |  |  |  |  |
| Fast Data Transmission |  |  |  |  |

Table 1: Comparison of common IoT Network Communication Protocols

## 2.3 Application Communication Protocols

Application communication protocols are lightweight low power and low data transmission in comparison to their network communication counterparts. These forms of communication protocols were designed specifically for IoT devices (Karagiannis et al., 2015). This section reviews some of the most commonly used application communication protocols. This communication is used within the application layer of the IoT architecture to allow microservices to communicate between them the acquired and/or processed data for higher levels of processing or information inference.

### 2.3.1 Message Queue Telemetry Transport

MQTT is the most commonly used application layer communication protocol, being lightweight and using a publish-subscribe model. The protocol is mainly used where a small code footprint is required i.e. sensor data and where bandwidth is limited. With the maximum amount of data transferred being 256MB (Rastovich, 2015), speed is the priority with this protocol. It is based on the client/server architecture; the server is responsible for handling the client's request for receiving or sending data between each other. This means when a device sends data to the broker (client) it is ‘publishing’ data for any ‘subscribers’ listening to that particular topic.

It supports three levels of Quality of Service (QoS), with each having different volumes of packets exchanged. The QoS levels have the following features:

* QoS Level 0 sends a message once and does not check if the message arrived at its given destination. This is the most basic form of message transport and can be used when there
* QoS Level 1 improves upon this by sending the message at least once and checks the delivery status using PUBACK, a status check message. If the PUBACK is lost, this can result in the same message being sent twice, due to no confirmation of the original message.
* QoS Level 2 sends the message exactly once by utilising a 4-way handshake between the client and broker. This has the benefit of there being no possibility of the message being lost but can cause additional delays.

In a correlation analysis measuring lost and delay of MQTT QoS levels, it was found that for Levels 0 and 1, the average packet loss was around 0.90% to 1.40% whereas packet loss only occurred at maximum, 0.18% with QoS level 2 (Lee et al., 2013). Although QoS level 2 did have the highest end-to-end delay, this was at maximum one second, suggesting QoS Level 2 would be most suitable for the proposed system. Sharing the same attributes as MQTT, SMQTT introduces an encryption/decryption protocol to enhance security (Singh et al., 2015). Figure 3 highlights the communication flow between an example Pi Broker acting as both a client (being able to send messages) and the server (receiving MQTT messages). Figure 4 also shows multiple smart phone clients subscribed to a specific topic awaiting a response.



Figure 4: MQTT Architecture using a Raspberry Pi as both a Publisher and Broker

### 2.3.2 Advanced Message Queueing Protocol

AMQP is an open standard application layer protocol for middleware messaging. It has additional features such as message orientation, switching, reliability and queueing. Both request/response and publisher/subscriber models are available with this protocol. Communication via this protocol is done through either the publisher or consumer creating an “exchange” with a given name, that name can then be broadcasted for both publishers and consumers to discover each other (Figure 5). Along with this exchange, the consumer creates a “queue”, attaching it to the exchange. Messages that are received by the exchange are matched to the queue via a binding process. AMQP offers the most flexibility when it comes to message exchange, offering multiple methods such as message by topic (similar to MQTT), directly, based on headers or in a fan-out form. In terms of QoS, AMQP offers two types of delivery of messages: Unsettle Format and Settle Format, being similar to MQTT’s Level 0 and 1.

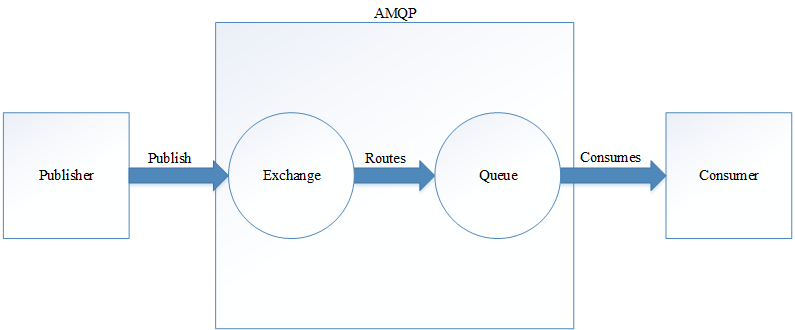


Figure 5: Basic AMQP protocol process with exchange and queue system

### 2.3.3 Constrained Application Protocol

CoAP is a stateless client/server application protocol based on the REST framework. This is based on a request/response model using the request types ‘GET’, ‘POST’, ‘PUT’ and ‘DELETE’, being similar to the standard HTTP protocol. CoAP uses a Universal Resource Identifier (URI) instead of topics seen in protocols such as MQTT. This is using a similar publish/subscribe method. The publisher sends new data to the URI, and all the subscribers are notified about the new value indicated by the URI, similar to MQTT. CoAP utilises UDP as a transport protocol and DTLS for security (Ludovici, Moreno and Calveras, 2013). Using UDP instead of TCP does create less reliability as there is no guaranteed delivery of a packet. To combat this; there is the option of “confirmable” and “non-confirmable” messages to provide a QoS architecture. Confirmable messages work by being acknowledged by the receiver via an ACK packet (Figure 6). Non-confirmable messages have no such system in place.

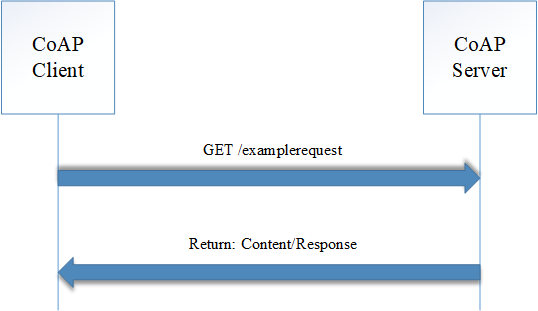


Figure 6: Basic GET/Response between a CoAP Client & Server

### 2.3.4 REdis Serialization Protocol

RESP is an application protocol designed specifically for the Redis key-value database (Redis.io, 2019). The main feature of this protocol is its ability to serialize data types including strings, integers, and arrays. Similar to MQTT and AMQP, it is primarily used with a TCP connection. A request/response model is also used and functions by sending commands to the server. This command is then received and once processed, a response is sent back to the client. RESP has a unique feature in which responses can be scheduled; a client can send multiple commands at once and wait for a response at a later time. Another area where this protocol differs is when a client subscribes to a public/subscribe channel, it becomes a push protocol, in which the client will automatically receive messages without having to send commands.

### 2.3.5 Comparative Analysis

As shown in Table 2, MQTT seems to offer the most in terms of reliability of message delivery. Utilising QoS Level 2 has the guarantee the message is not lost. The other protocols offer no such service, with CoAP and AMQP only offering the equivalent to Level 1 QoS. Transport protocol is also a consideration when selecting a communication protocol, as TCP is much more reliable than its UDP counterpart. It was also shown when it comes to sending small bytes of data similar to the payloads of most IoT devices, MQTT appears to perform better with overall less latency compared to AMQP (Sreeraj and Kumar, 2018). In contrast, a recent comparison study found that out of all the common IoT communication protocols, MQTT was scored on the lowest in terms of security (Naik, 2017). This suggests that that SMQTT is the preferred choice for a home security system, as this protocol would meet all the selected design requirements presented in Table 2.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Feature | MQTT | CoAP | AMQP | RESP |
| Header Size | 2 Byte | 4 Byte | 8 Byte | N/A |
| Architecture | Client/Broker | Client/Server or Client/Broker | Client/Server or Client/Broker | Client/Server |
| Abstraction | Publish/Subscribe | Request/Response or Publish/Subscribe | Request/Response or Publish/Subscribe | Request/Response |
| Message Size | 256mb Max | Small & Undefined | Negotiable & Undefined |  |
| QoS / Reliability | QoS 0 – At most once  QoS 1 – At least once,  QoS 2 – Exactly once | Confirmable or Non-Confirmable Message | Settle Format or Unsettle Format | N/A |
| Transport Protocol | TCP | UDP | TCP, SCTP | TCP |
| Default Port | 1883/8883 (TSL/SSL) | 5683 (UDP) | 5671/5672 (TLS/SSL) | 6379 |

Table 2: Features of most common IoT Application Communication Protocols

## 2.4 Data Exchange Formats

Due to the IoT industry still being in its infancy, there is little standardisation in most of its areas. This holds true for data exchange (Al-Fuqaha et al., 2015), with there being multiple data formats currently used. This section aims to review the current data exchange formats and suggest the most suitable format for the proposed system.

Data serialization is the process of writing the state of an object to a stream and rebuilding this object when received on the other end. This is a way of sending and receiving data over a network. When choosing an appropriate data exchange format, the following must be considered:

* Message Size: The overall number of bytes of the message being sent. With IoT communication protocols being designed for lightweight, small volumes of data, the overall size of the message is a large factor in the communication of IoT devices.
* Serialization Time: This involves translating data structures into the format that can then be used for data transmitting. This data once received by the recipient, can then be reconstructed.
* Deserialization Time: Once received, this is the amount of time taken to reconstruct the data into a format readable by the recipient device or machine.

### 2.4.1 XML

XML, published in 1996, is one of the oldest data exchange formats created by W3C. It was designed to be used over the internet and remain human legible (Figure 7 shows the XML format). It is text-based, which can be problematic in terms of speed, as it will also need to be parsed character by character.



Figure 7: Code Snippet showing basic XML Schema

### 2.4.2 JSON

JSON or JavaScript Object Notation is a message format that arose as a subset of the JavaScript programming language. It holds a similar structure to XML, being human-readable with a similar schema, but with less overhead (as shown in Figure 8). In AJAX applications, JSON and XML were compared, with JSON outperforming XML in terms of data size occupancy and transmission speed (Lin et al., 2012).



Figure 8: Code snippet showing basic JSON Schema

### 2.4.3 Protocol Buffers

ProtoBuf is a form of protocol buffer developed by Google which utilises binary instead of text to perform fast serialization and deserialization. When compared to JSON, Protobuf outperformed JSON in both message size and speed in both Java to Java communication and Java to JavaScript, showing promising results (Krebs, 2017). The author claims Protobuf can perform up to six times faster than JSON. It should be noted that this test only tested these environments and should not be used as a whole representative for the two data exchange formats.

### 2.4.4 Comparative Analysis

In a comparison study, the serialization size, average serialization time and average deserialization time were compared between XML, JSON, and ProtoBuf (Sumaray and Makki, 2012). The protocol buffer outperformed both JSON and XML with XML performing the worse in every scenario (shown in Tables 3, 4 and 5). This held true regardless of the size of the object (large or small) and highlights the efficiency of the protocol buffer. Although this study was carried out on a mobile platform, it gives a clear perspective on the performance of each of the data exchange formats available for IoT communication. It can be concluded that the main advantage of using JSON is its human-readable interface, which is not necessary for IoT based systems. Therefore, ProtoBuf is the most suitable due to its performance in the aforementioned qualities.

|  |  |  |  |
| --- | --- | --- | --- |
|  | **XML** | **JSON** | **ProtoBuf** |
| **Book** | 873 | 781 | 687 |
| **Video** | 231 | 139 | 59 |

Table 3: Serialized size in bytes (Sumaray and Makki, 2012)

|  |  |  |  |
| --- | --- | --- | --- |
|  | **XML** | **JSON** | **ProtoBuf** |
| **Book** | 22.284 | 4.177 | 2.339 |
| **Video** | 17.884 | 4.097 | 1.800 |

Table 4: Average serialization time in milliseconds (Sumaray and Makki, 2012)

|  |  |  |  |
| --- | --- | --- | --- |
|  | **XML** | **JSON** | **ProtoBuf** |
| **Book** | 7.908 | 1.199 | 0.298 |
| **Video** | 6.742 | 0.755 | 0.197 |

Table 5: Average deserialization time in milliseconds (Sumaray and Makki, 2012)

## 2.5 Security Threats

This section highlights potential security threats relating to IoT devices. The aim of this section is to present the most frequent security vulnerabilities present within the IoT architecture. A 2018 paper highlighted Denial of Service, and Man in the Middle (particularly Address Resolution Protocol Poisoning) being the main cyber threats IoT (Gao et al., 2018). This section will review the relevance of these and other attacks to the proposed system.

### 2.5.1 Denial Of Service Attack

DoS attacks are used to prevent access to devices or network resources, essentially taking the network offline. It is accomplished by flooding a network with packets and redundant requests to the point of the network not being unable to be accessible by authentic users (Prabhakar, 2017). Direct Denial of Service (DDoS) is a more extreme version of the regular DoS, which uses multiple compromised systems instead of a single unit (shown in Figure 8). Infected computers as a collective are called a botnet. A botnet is a collection of computers performing repetitive tasks to keep websites going (Uk.norton.com, 2019). The problem arises when this architecture is used for malicious intent, harvesting a machines power to be used in assisting in DDoS attacks. Figure 9 highlights this, showing an attacker issuing an attack command using the ‘slave’ computers infected by a botnet to floor a victim’s network. Although traditionally used against computers, IoT devices are starting to be the main target, due to their lack of security. In 2017, it was discovered that there were various botnets designed specifically to infect IoT devices (Krebs, 2017), namely ‘Mirai’, ‘Reaper’ and ‘IoTroop’, further adding to the problem. Additionally, nearly half of the ‘Rakos’ botnet collection consisted of Pi models. This suggests that Pi systems are clearly prone to bot nets and precautions must be taken to prevent such attacks.



Figure 9: A DDoS attack being carried out using infected PCs (slaves)

### 2.5.2 Man in The Middle Attack

The MITM has the ability to capture all messages between a server and IoT device via network spoofing. Using this spoofing strategy, it can assume identification of both the IoT device and server and trick both devices into believing they are still communicating with each other (Conti, Dragoni and Lesyk, 2016). Address Resolution Protocol (ARP) Poisoning is a form of MITM attack that uses TCP/IP protocol to acquire physical addresses (MAC) based on the IP addresses of nodes within the network (Abad and Bonilla, 2007). This is done through broadcasting an ARP request on the network, requesting access to the host’s IP address. When this malicious node is on the network, the node being attacked matches its physical address with the IP address of the original communication node. This is done through sending a fraudulent message to the node attacked and recording the error pairing in an APR cache table. The victim node will then communicate with the attacker node by misinterpreting the node as normal communication, sending all data packets towards the malicious node. This can be shown in Figure 10, showing an intercepted connection between a Server and Client. Packet sniffing can be problematic when it comes to sensitive data such as surveillance images in an individual’s home, meaning preventive measures should be prioritised against this attack.

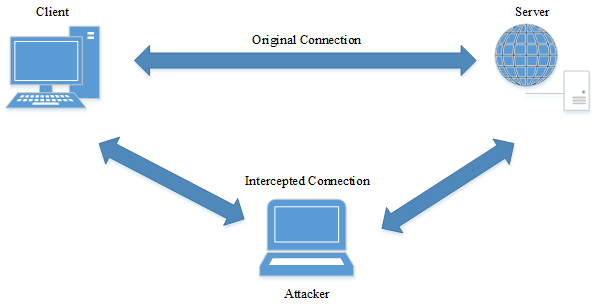


Figure 10: MITM attack showing an intercepted connection from a malicious attacker

### 2.5.3 Brute Force Attacks

Brute force attacks consist of using software to guess as many passwords as possible while attempting to login into a system until the correct password is guessed. This could occur at the device level (attempting to login to the device remotely) and at network level e.g. guessing the passphrase of a Wi-Fi router. WAP2, being the most common form of security for personal Wi-Fi routers, is susceptible to these attacks. Figure 11 shows a client using a malicious script to brute force an insecure website. A 2017 paper concluded that this protocol is especially vulnerable to dictionary-based attacks and key reinstallation attacks (Abo-Soliman and Azer, 2017), which are forms of brute force attacks. Implementing a form of account lockout can be an effective strategy to prevent brute force attacks. The UK government recommends a maximum of 10 login attempts before locking out the account (ukgov, 2015). If remote access to the Pi such as Secure Shell (SSH) is accessible, this allows an attacker to attempt brute force methods on the Pi to attempt to gain access.

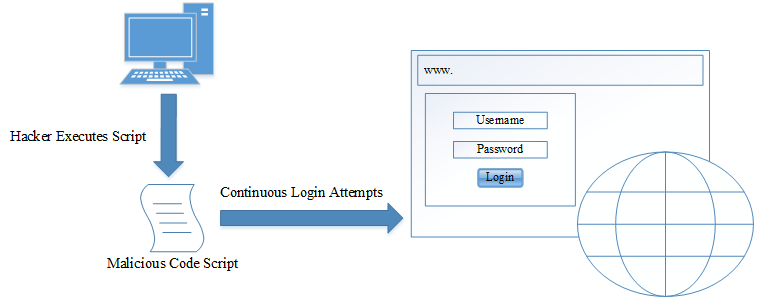


Figure 11: Computer running a malicious script to attempt logins on a website

### 2.5.4 Physical Tampering

Physical manipulation of a device could occur. This is when the device is modified to act in unexpected ways by someone with malicious intent. Physical tampering includes connecting to exposed ports, interrupting a devices power, removing parts or manipulation of camera devices (Elizalde, 2019). It is safe to assume that if this happens the intruder is already in the individual’s home and the home security system has already either captured the intruder and informed the home owner or has been compromised prior to this stage via other approaches. Even though this attack not directly relevant to the proposed system, a way to protect the user’s data if this occurred would be full encryption of the storage and two-factor authentication to prevent users from accessing the micro SD that is present in the device. These encryption approaches will be further examined in the following section.

### 2.5.5 Malicious Code Attack

This is code in any part of the software intended to cause undesirable effects and potentially causing the system to malfunction. This is especially prominent in IoT devices where remote firmware updates are common as it is sometimes impossible or not financially viable to collect these devices for an update (Kvarda et al., 2016). This form of security threat will not occur, as the device will not be updated in such context.

## 2.6 Cryptography Options

This section aims to review the most common forms of cryptography and establish the state of the art methods in securing communication between devices. In accordance with the guidelines set by the UK government (ukgov, 2015)., passwords should not be stored as plain text. The best way to store passwords is established in this section.

### 2.6.1 Symmetric Encryption

Advanced Encryption Standard is a symmetric form of encryption (Figure 12), supporting key lengths of 127, 192 and 256 bits. In a comparison study against four different algorithms, it was shown that AES has the highest avalanche effect, which is recorded as how much change there is in an output based on the change of an input. Scoring high in the avalanche effect reflects a high level of cryptographic strength. This suggests that, when confidentially and integrity are the highest priority, AES should be used (Patil et al., 2016).

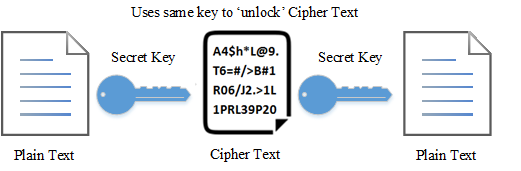


Figure 12: Example of plain text being encrypted using symmetric key encryption

### 2.6.2 Asymmetric Encryption

RSA works on a public-private key basis that is a form of asymmetric encryption (Figure 13). The public key is available to everyone, i.e. placed on the server (RPi) whereas the private key is used to ‘unlock’ that specific public key. This gives an added layer of security as the data can only be decrypted using the individual’s private key. The private key is usually stored on a client device e.g. computer or mobile phone (Goshwe, 2013). This form of encryption was used successfully in the creation of a payment terminal using a RPi, TSL and AES based encryption, highlighting its efficiency (Kakar, 2016). This can be used in conjunction with SSH to allow remote access to only those users that have the private key in relation to the public key, aiding in the prevention of brute force attacks.

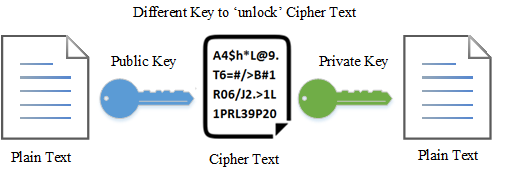


Figure 13: Example of plain text being encrypted using Asymmetric key encryption

### 2.6.3 Hash Encryption

Hashing is a form of one-way cryptography where the text is transformed into a hash algorithm and cannot be reversed. It is used for password storage due to its key feature of non-reversal resulting in not even the owners or administrators being able to see their users’ passwords. Hashing is also used in ensuring message integrity when transferring files. Common hashing algorithms include Message-Digest Algorithm (MD5) and Secure Hash Algorithm (SHA-1). In a comparative study, it was shown that regardless of password length, the SHA-1 algorithm took longer to crack, suggesting this is the superior option when it comes to password hashing (Putri Ratna et al., 2013). The results of the comparison of the two algorithms attack time against a brute force attack for a 6-character password can be seen in Figure 14.



Figure 14: SHA-1 & MD5 brute force successful attack times (Putri Ratna et al., 2013)

### 2.6.4 Salt Encryption

As recommended by the UK government, password hashing alone is not enough (ukgov, 2015). In 2015, a paper demonstrated that regardless of hashing algorithm used, passwords were still susceptible to brute-force, dictionary and rainbow-table attacks (Tatli, 2015), suggesting that without the addition of *salt*, passwords are not safe regardless of the hashing protocol. A *salt* is a random value that is considered cryptographically-strong while being fixed length (Arias, 2018). This *salt* can be added to a hash function, which makes hash functions unique for every input, meaning if two users have the same password, the hash values for each of their passwords would not be the same, as found in traditional solo hashing. This suggests that when hashing passwords, a *salt* should be always added to give an additional layer of security.

## 2.7 Message Integrity

When transporting sensitive data such as private images or messages, message integrity is essential. There are various strategies to identify potential third parties tampering or viewing messages as they are being transported through protocols such as MQTT. This section aims to determine the state of the art strategies in message integrity and ensuring only the authorised individuals and devices are able to receive and access messages. This is usually achieved through a form of ‘digital stamp’ which is added to the payload which can then be used to verify the message was not tamped with by a third party.

### 2.7.1 Hash Algorithms

As mentioned in Section 2.6.3, hashing is an excellent way to store passwords securely. Additionally, hashing can also be used as a form of message integrity. This is achieved by adding the hash algorithm to the payload and verifying it once it reaches a given recipient. The main advantage of this compared to the other mentioned methods is speed. As there is no modification to the network and only to the payload, it has very little impact on message transport speed. The main downside to this form of message integrity is if someone intercepts the message, they could potentially change the message and recalculated the digest of the hash so it would match the recipients hash calculation (Harmoush, 2015).

### 2.7.2 Message Authentication Code Algorithms

MACs add to the security provided by hash algorithms by adding a layer of authentication. Authentication is when the receiver of the message can be ensured that the message originates from a trusted sender. This is done through a form of symmetric key encryption (refer to 2.6.1) meaning only trusted parties have access to the key required to create the digital stamp. The downside to this method is the key must be shared with all entities or receivers (Mehmood, 2017), meaning if the key become compromised by one of the key holders, the whole chain is compromised. In a scenario such as a surveillance camera, all smart phone users with the app connected to the camera would be considered key holders, as well as the surveillance camera.

### 2.7.3 Digital Signatures

Digital signatures improve upon the MAC method of securing MQTT messages by ensuring non-repudiations of the message sent. Non-repudiation is when a messages authorship cannot be disputed and therefore cannot deny it comes from the original recipient (Villanueva, 2015). This utilises asymmetric key encryption, meaning only the sender of the message with access to the private key can generate the digital stamp (Villanueva, 2015). The fundamental difference between this and the former method is that other parties can verify the signature, using the public key, but cannot create the stamp using the private key, which the sender should have. This is relevant is cases such as surveillance as a fraudulent image could be sent by a malicious party that simulates an image being taken by the surveillance camera. With no way to verify if it comes from the correct source, this is a way to remedy this.

### 2.7.4 Comparative Analysis

In their review of MQTT security practices, HiveMQ, the online MQTT cloud broker highlights the use of digital signatures as the highest form of secure practices using the MQTT communication protocol (Hivemq.com, 2015). The main argument being, it addresses the three main issues when it comes to message security: data integrity, authentication and non-repudiation.

## 2.8 Facial Recognition

This section covers the numerous options of face recognition algorithms. As face recognition in generally is computationally expensive, limits are imposed in terms of computational power as the RPi’s capability is limited.

### 2.8.1 Haar Cascade

The Haar Cascade method is a form of object detection algorithm primarily used in face detection. The purpose of the algorithm is to identify objects within a video or image based on feature selection. The algorithm is trained via positive and negative images and once trained, is then used to detect objects in other images. Each image is broken up into ‘Haar-Features’ which can be used to detect specific features of an image, the most common being edge, line and four rectangle features, the latter being used to detect slanted lines (as seen in Figure 15). Each calculated feature results in a single value by summing both rectangles and subtracting the sum of the white rectangle from the sum of the black rectangle. Although possible to calculate every single feature is very computationally expensive (Docs.opencv.org, 2019).

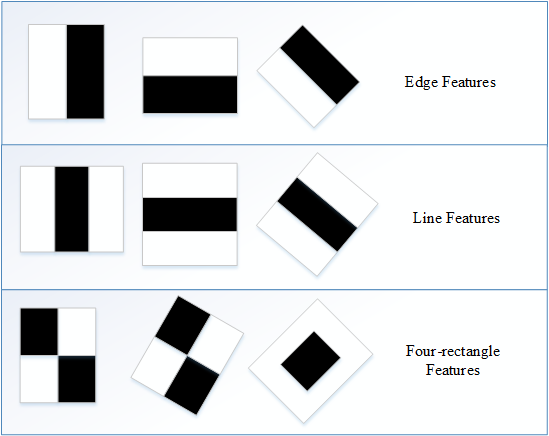


Figure 15: Most commonly detect features in the Haar Cascade algorithm

To remedy this, Adaboost is normally implemented (Viola and Jones, 2001). When it comes to training, every feature is applied on every training image. Adaboost then finds the best threshold for each feature, determining which features contain the minimum error rate. This is done through classification and is continually readjusted until the desired error rate is achieved. The resulting final classifier is a weighted sum of these weak classifiers. The term ‘weak’ is used because these features detected cannot classify an image, but when the selected features are used together, it gives strong classification results.

### 2.8.2 Histogram of Oriented Gradients

HOG is a feature descriptor used to detect objects/faces in the field of computer vision and image processing. The algorithm works by dividing the image into smaller parts via edge detection technique, being highly dependent on the orientations of the edge (Patel and Rajput, 2018). These smaller parts of the image are then further divided into small continuously connected regions called cells.

There are two components of the gradient – horizontal and vertical which can be calculated using Equations 1 and 2:

Magnitude of gradients = (1)

Direction of gradients = (2)

In their paper (Noman, Yousaf and Velastin, 2016), it is demonstrated that human detection in real time is possible using a simple RPi model in conjunction with the HOG algorithm. Though promising, the author uses foreground estimation, focusing on the area in which humans have a high likelihood of appearing in the frame, to reduce the workload of the Pi. Other strategies such as greyscale conversion and background subtraction techniques were applied, further reducing the computational load on the RPi. It should be highlighted that the Pi model used in the experiment was the model 1b, the original Pi model. This suggests that the aforementioned load reducing techniques may not be necessary on future versions of the RPi, as the one proposed in this thesis. Additionally, the Haar Cascades algorithm and Adaboost classifier was used with a Raspberry Pi 2 model in real time emotion detection, boasting an average accuracy of 94% (Suchitra, Suja P. and Tripathi, 2016). Though impressive, there is no guarantee this has direct carry over to facial recognition. In their 2018 paper (Nagpal et al., 2018), the RPi Zero, the lightweight version of the RPi, was used in conjunction with the Haar-Cascades algorithm for facial recognition. The results concluded a modest 60% accuracy with the classifier, with up to 75% accuracy in ideal conditions. The literature on RPi facial recognition shows no clear consensus on the most accurate and efficient algorithm for the RPi. Further analysis must be carried out to determine the highest performing algorithm with the RPi 3 model.

## 2.9 Security Guidelines for Internet of Things

This section highlights recommended security measures for Internet of Things-based development. In accordance with the best practices outlined by the IoT security foundation (IoT Security Compliance Framework, 2018), the following security measures have been highlighted relating to the project presented in Table 6.

|  |  |  |
| --- | --- | --- |
| *Req. No* | *Requirement* | *Section* |
| 2.4.5.1 | Device has measures to prevent unauthenticated software and files being loaded onto it. | Software |
| 2.4.5.2 | Regarding remote software updates, software images are digitally signed and approved by the appropriate signing authority. | Software |
| 2.4.5.5 | Device disables any virtual ports not required for normal operation, the ports are disabled or only allowed to communicate with authenticated devices | Software |
| 2.4.5.6 | Watchdog timer is present and cannot be disabled to prevent stalling or disruption of operations | Software |
| 2.4.5.8 | Devices protects against unauthorised reversion of software to earlier & potentially insecure version | Software |
| 2.4.5.21 | Devices communicates over TCP, device software uses cryptography to protect data | Software |
| 2.4.6.1 | OS is implemented with relevant security updates prior to release | Process |
| 2.4.6.3 | All unnecessary logins or accounts are disabled at end of software development lifecycle | Software |
| 2.4.6.4 | Minimum access privileges required to function are assigned to relevant files, directories and data | Software |
| 2.4.6.5 | If passwords are stored on device, password files are only accessible by most privileged accounts | Software |
| 2.4.6.6 | All non-essential services have been removed from devices software | Software |
| 2.4.6.7 | All OS command line access to most privileged accounts have been removed from OS | Software |
| 2.4.6.8 | Devices OS Kernel cannot be called by external product level interfaces or unauthorised products | Software |
| 2.4.6.9 | Applications are operated at lowest privilege level and only have access to necessary resources | Software |
| 2.4.6.10 | All supported security features available on OS are enabled | Software |
| 2.4.6.11 | OS is separate from application & is only accessible via defined secure interfaces | Software |
| 2.4.7.1 | Devices prevents unauthorised connections to it, i.e. firewall enabled & internet layer protocol | Software |
| 2.4.7.4 | Device only supports versions of application layer protocols with no known vulnerabilities | Process |
| 2.4.7.5 | If unauthorised change is detected, device should alert admin | Software |
| 2.4.7.6 | All unused ports are closed & only required ports are active | Process |
| 2.4.7.10 | For any Wi-Fi connection using WPA2, secure encryption is used and WPA is disabled | Software |
| 2.4.7.13 | When a TCP protocol such as MQTT is used, it is protected by a TLS connection | Software |
| 2.4.7.18 | Device only enables network, application protocols and network services appropriate for device | Policy |
|  |  |  |
| 2.4.8.4 | Device does not accept null or blank passwords | Software |
| 2.4.8.5 | Device does not allow new passwords containing the users username | Software |
| 2.4.8.6 | Passwords entry follows industry standard | Software |
| 2.4.8.7 | Device has defence against brute force attacks | Software |
| 2.4.8.8 | Device securely stores passwords using industry standard cryptography | Software |
| 2.4.8.11 | Product only allows controlled user account access, no guest user accounts are supported | Software |

Table 6: Relevant IoT best practices in relation to the project

# 3.0 Technology Review

This section details the various technologies proposed to carry out the development and verification of the proposed system. This includes hardware selection, programming language choices, operating systems, various tools for security, software libraries and frameworks.

## 3.1 Hardware Selection

The Raspberry Pi Model 3b was selected as the main piece of hardware due to its low cost, operating system malleability and camera support. With support for Linux based operating systems and increased processing power compared to previous models, the board is more than capable of running computer vision libraries for the surveillance system. Border surveillance was carried out successfully using a Pi in a recent paper, demonstrating the boards capabilities. (Abdalla and Veeramanikandasamy, 2017).

## 3.2 Operating System Selection

While there are multiple OS systems available for the Raspberry Pi, Raspbian is currently the recommended, having been designed specifically for the Raspberry Pi (Raspbian.org, 2019). Similarly, when it comes to cyber security, the standard operating system used in cyber security and digital forensics is Kali Linux, derived from the Linux distribution Debian.

### 3.2.1 Raspberry Pi Operating System

Raspbian is an operating system based on the Linux distribution Debian, meaning it has all the features of a standard Linux operating system while being lightweight and designed for the RPi.

### 3.2.2 Cyber Security Operating System

Kali Linux is an operating system contains over 300 programs and tools used in ethnical hacking, making it the golden standard for carrying out simulated attacks. Liang et al., (2016), present three different types of DoS attacks, resulting in all three being successful against a small IoT device using Kali Linux on a separate client. This client was connected to the same network as the IoT device.

## 3.3 Programming Language Selection

This section highlights the justifications for the programming languages selected for development of both the mobile application and surveillance system. Factors such as time constraints, portability, library prominence and compatibility are major considerations in choosing a programming language.

*Raspberry Pi Development*

Python is a programming language which offers tremendous support in terms of Computer Vision, granting access to libraries OpenCV and Dlib (Dlib.net, 2019). The only alternative languages that support these packages are C and C++. Due to time constraints and the authors previous experience with Python, it has been selected for the Raspberry Pi-based development of this thesis.

*Application Development*

Xamarin has been selected as a framework to develop a hybrid mobile application which will receive images and interact with the Pi. Xamarin is based on Visual Studio and the programming language C# and works on a Model-View-ViewModel architecture which allows for clean separation of application logic from its user interface. A recent comparative study showed this specific feature was the biggest advantage over other hybrid and native application approaches (Vishal and Kushwaha, 2018). This, along with the authors previous experience with the programming language C#, makes Xamarin the most suitable choice for the application development.

## 3.4 Library Selection

This section details the various libraries to be used in both the RPi development and Xamarin smart phone application development. As both languages differ greatly, it is important to find the industry standard and more trustworthy packages for each language.

### 3.4.1 Python Library Selection

**OpenCV** is an open source library that offers a range of feature detecting and feature matching algorithms. It is shown that the majority of the algorithms used, detect thousands of features with seconds (Noble, 2016), making it suitable for a face detection system. To highlight the accuracy of this technology, a recent paper showed success in detecting eye fatigue in drivers using OpenCV (Manoharan and Chandrakala, 2015).

**Dlib**is another open source library containing support for deep learning and machine learning respectively. It has excellent image processing support, including SURF, HOG, FHOG algorithms, and additional tools for frontal face detection (Dlib.net, 2019).

**Imutils**is a support library for computer vision, specialising in processing functions such as translation, rotation, and resizing while supporting both Python 2 and 3 respectively (GitHub, 2019). It will be used in conjunction with the computer vision OpenCV to assist in processing images.

**Pickle** is a Python library used for the serialization and deserialization of objects It is used to encode and save objects on a disk in a character stream format (GeeksforGeeks, 2019). This stream contains all the information necessary to reconstruct the original object in a separate python script.

**Face\_Recogniton** is an open source Python library based on Dlib used to recognise and manipulate faces in a simplistic easy to use manner (GitHub, 2019). The library is used for quick face recognition and can be used in live video stream. Being compact and lightweight makes it ideal for a Pi-based surveillance system. Dlib is a prerequisite library for this module and must be installed alongside it.

**PiCamera** is a support package for handling and interacting with the Pi camera. It can be used to show a live feed of the camera on the Pi and capture images which can then be sent using the MQTT protocol.

**Paho MQTT** is a client used for interacting with the MQTT protocol. This will be used to send messages via the Mosquitto broker which can then be received using the M2MQTT client on the smart phone devices.

### 3.4.2 C# Library Selection

**SQLite** is a lightweight version of SQL that can be used within the Xamarin platform. SQLite has the main advantage of being serverless and extremely lightweight with the library being less than 500KiB in size (Sqlite.org, 2019). Being serverless has the advantage of being able to read and write to the database without a network protocol such as TCP/IP. This, along with its lightweight build, make it suitable for smart phone database storage.

**M2Mqtt** is a MQTT client made for all .Net platforms, including support for IoT and M2M communication (GitHub, 2019). Other MQTT clients such as MQTTnet are platform specific and therefore not applicable to Xamarin development

**Xam.Plugins.Notifier** is a small support package for Xamarin Forms used for local notifications. It offers a simplistic way to execute push notifications to both iOS and Android while using the shared code between the two. To enable push notifications, permissions must be granted on iOS in versions higher than 8.0.

**Plugin.Fingerprint** is a small support library for Xamarin supporting both iOS and Android fingerprint authentication. This package would be used in conjunction with a traditional username/password setup as a part of two-factor authentication to grant access to the rest of the application.

**System.Security.Cryptography** is the official cryptography package by Microsoft (Docs.microsoft.com, 2019) offering an array of cryptography algorithms including salt and SHA type hashing. This library will be used to create secure password storage on the application.

## 3.5 Supporting Software Review

Although the development of a secure RPi surveillance system is possible without the assistance of additional software, this section offers additional support software to enhance both the security and system as a whole. Support software such as an advanced firewall is reviewed, and additional software which can be used to speed up the development of the system.

**Visual Studio 2019** Community is the latest free version of the IDE released by Microsoft with heavy support for application development (Xamarin) and the programming language C# (Bamburic, 2019). The IDE supports both Android and iPhone emulator interaction and having been made by Microsoft, offers the most support for C#.

**Fail2Ban** is a Python based open source software that interacts with the Pi’s operating system’s firewall (Fail2ban.org, 2019). Its main purpose is to ban IPs that show malicious intent. This includes too many password attempts, seeking for exploits, etc. It is recommended by the Raspberry Pi Foundation, giving it additional credibility (Raspberrypi.org, 2019). The software is also claimed to help protect again DDoS attacks (George, 2019).

**Mosquitto** is an open source message broker which implements the MQTT protocol. Its lightweight size makes it ideal for small low power boards such as the Pi (Eclipse Mosquitto, 2019). As mentioned in the Introduction Section, the aim of this project is to limit the amount of potential security vulnerabilities by removing services such as Cloud vendors from the equation. Mosquitto is an alternative to MQTT cloud vendors such as HiveMQ, which grant public access to their topics and messages.

**Certbot** is a client used for interacting with Let’s Encrypt, which is a company that offer free certificates acting as a Certificate Authority (Letsencrypt.org, 2019). Certbot allows for the automation of Certificate creation and maintenance which can be used in conjunction with the Mosquitto client to ensure message integrity and authentication when communicating through MQTT.

**Xrdp** is an open-source remote desktop client used to give operating systems other than Windows a full functional desktop experience. This is used in conjunction with SSH to grant full remote access to the Pi.

**Android Emulator** will be used to simulate using an Android mobile phone to test and evaluate the functionality of the app before publishing it to the app store. The emulator can simulate everything a traditional Android phone can do as well as allow for testing on multiple API levels.

**DB Browser (SQLite)** is a tool to access SQLite databases. It has the ability to view, change and export data into various different file formats (Sqlitebrowser.org, 2019), most notably the ‘csv’ file format. This will be used to export data from the emulator to be used for further analysis.

# 4.0 System Requirements

This section details the hardware and software requirements of the system. Functional and non-functional requirements are highlighted along with each requirement having a priority level. This is important as criteria with the highest level of priority should always be carried out first, whereas non-functional requirements are additional and should be treated as such.

## 4.1 Hardware and Software Requirements

Hardware requirements (Table 7) are established, with each hardware requirement having an additional backup in case of malfunction. Software requirements are also listed (Table 8) to highlight the relevant programming languages and libraries selected according to the earlier review to achieve the objectives of this thesis.

|  |  |  |
| --- | --- | --- |
| # | Requirement | Priority |
| **1** | Raspberry Pi Model 3b | High |
| **2** | Raspberry Pi NoIR Camera | High |
| **3** | 32Gb Micro SD Card | High |
| **4** | Personal Computer | High |

Table 7: Hardware Requirements for project

|  |  |  |  |
| --- | --- | --- | --- |
| # | Requirement | Justification | Priority |
| **1** | Raspbian Operating System | Optimised operating system made specifically for the Raspberry Pi model | High |
| **2** | Kali Linux Operating System | Operating system used for cybersecurity | High |
| **3** | Python Programming Language | Has industry standard face recognition libraries and MQTT support | High |
| **4** | Python Library - Face\_Recognition | Package used for face recognition modules | High |
| **5** | Python Library - Dlib | Package used for computer vision | High |
| **6** | Python Library – Imutils | Support package for computer vision | Medium |
| **7** | Python Library – Pickle | Package used for object deserialization | Medium |
| **8** | Python Library - OS | Package used to open and save external files | High |
| **9** | Python Library - argparse | Package used to pass arguments into a script when ran | High |
| **10** | Python Library – time | Package used to access the current date and time | Medium |
| **11** | Python Library – pathlib | Prerequisite for protobuf-compiler package | Medium |
| **12** | Python Library – protobuf-compiler | Package used for compiling .proto files | Medium |
| **13** | Python Library - OpenCV | Package used for computer vision | High |
| **14** | C# Library – SQLite | Package used for read/writing to an SQLite database | High |
| **15** | C# Library - M2Mqtt | Package used for creation of MQTT client | High |
| **16** |  |  |  |

Table 8: Software Requirements for project

## 4.2 Functional & Non Functional Requirements

This section presents the functional & non-functional requirements for the development required according to the objectives of this thesis. Development of the functional requirements is carried out first, with those of highest priority completed before other lower priority tasks. Once the core system is completed, all security related requirements are prioritised with the intention of making the system as secure as possible. Table 9 lists the core functionality of the system with Table 10 referring to the non-functional requirements.

|  |  |  |
| --- | --- | --- |
| # | Requirement | Priority |
| 1 | The system allows for photos to be taken from the camera of an individual initially to train the model on recognising their faces | High |
| 2 | The system can encode each image to allow for training to occur | High |
| 3 | The system can use the trained model to identify family members during a live video stream | High |
| 4 | The system can identify individuals that are not recognised as family members during a live video stream | High |
| 5 | The system can capture an image when a person is detected but not identified as a family member | High |
| 6 | The system can label the image with the current date time | Medium |
| 7 | The system can store images into a database | High |
| 8 | The system can securely send the image with relevant details via MQTT | High |
| 9 | All smartphone devices subscribed to the topic can receive the image | High |

Table 9: Core Functional Requirements

|  |  |  |
| --- | --- | --- |
| # | Requirement | Priority |
| 1 | Raspberry Pi should have no open unused ports | High |
| 2 | When SSH port is open, only devices with the RSA private key in relation to the Raspberry Pi RSA public key | High |
| 3 | Both default username and password of the Raspberry Pi are changed, password requirements are in alignment with described in 2.6.3 | High |
| 4 | Access privileges are limited to accounts with SSH access | High |
| 5 | MQTT communication is done securely using Secure MQTT outlined in 2.3.1 | High |
| 6 | Raspberry Pi is encrypted at operating system level | Medium |
| 7 | Database storing image files is encrypted | High |
| 8 | Smartphone users have 2-factor authentication for verification | High |
| 9 | System has protection in place to deal with security threats outlined 2.5 | High |
| 10 | System periodically deletes images on all mobile devices within the smart phone app | Medium |
| 11 | UI of smartphone app is aesthetically pleasing | Low |

Table 10: Non-Functional Requirements

# 5.0 Methodology

The Methodology Section details the process of developing both the surveillance system and the smart phone application. The software development methodology used in the approach is highlighted along with the overall flow of the system. Code fragments are used to explain important parts of the system and how they are developed in terms of actual programming. Communication between the devices is discussed and determined using the knowledge gained from the Literature Review. Finally, security strategies for surveillance, network and application development are shown with the optimal options for security established.

## 5.1 Development Approach

With there being three main areas of criteria with the outlined functional and non-functional requirements, an Incremental Development Lifecycle is proposed. With an incremental approach, each part of the product goes through a design, implementation and testing stage, known as a ‘build’ (shown in Figure 16). This project naturally falls into four parts: RPi (surveillance system) development, application development and application communication protocol development. With this approach, each area of the project can be handled separately and ensured that the system is fully functional before attempting to implement security practices. The following build stages are proposed:

1. Surveillance system development. This includes everything relating to the Pi such as gathering images to train the model, encoding gathered images, training said images on the model and determining the best way to carry out live face recognition. Factors such as power outage are considered and a solution is proposed.
2. Application development. Development of a smartphone app used to communicate with the system remotely and to receive images of possible intruders at the door. This includes handling data storage using SQLite, account creation and login, push notifications and the user interface for MQTT communication.
3. Application communication protocol development. Handling MQTT communication across both the surveillance system and smart phone application is assessed with their respective programming languages and available libraries considered.

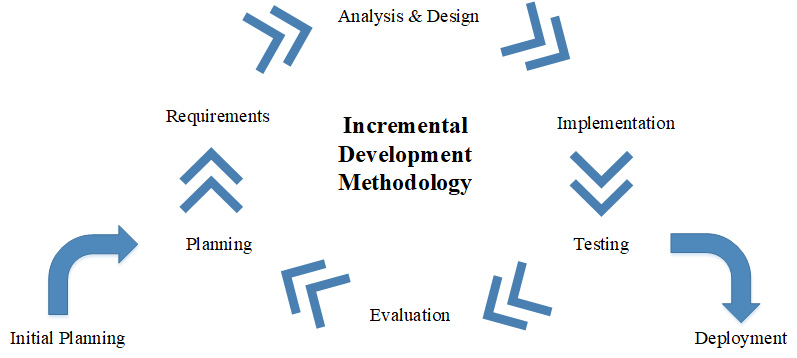


Figure 16: Incremental Software Development Lifecycle for a Home Surveillance System

## 5.2 Main Flow Design & Overview

The main flow of the system is described in Figure 17 and begins by after an unidentified individual enters the premises, prompting the RPi to send the captured image through MQTT. The smart devices connected to the local broker listening on the relevant topic will then receive a push notification which can be pressed to view the image. If the smart phone device is offline, the image will not be received until the user connects to the MQTT protocol. This is saved because the images are sent through QoS 2, which guarantees the payload is sent (as determined in Section 2.3). If the user is not logged in, they will be prompted to login and can then enter their credentials. If their credentials are wrong, they will again be prompted to enter their credentials. Upon success, the user will then be prompted to scan their fingerprint as a form of two-factor authentication. If the user does not have this feature activated or the wrong fingerprint is read, the user will be prompted to take appropriate action. Finally, upon logging in successfully, the user will then be able to view the image and have the option to delete it if requested.

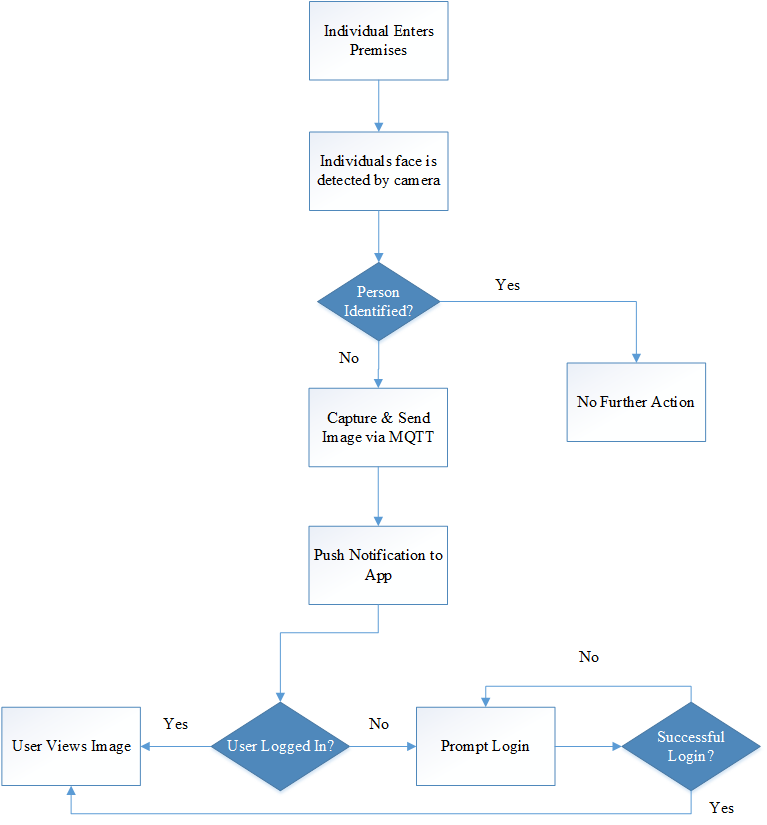


Figure 17: Main Interaction of the Surveillance System and Smart Phone Application

## 5.3 Surveillance System Development

This section details the steps of development relating to the Pi development of the incremental lifecycle. The section is further broken down into smaller components relating to creating a recognisable face dataset and constructing it into a machine-readable format, machine-to-machine communication, carrying out the face recognition in real-time and finally sending the image using MQTT as a mode of transport.

### 5.3.1 Gathering & Encoding Face Dataset

As mentioned in the Literature Review, face recognition algorithms need to be trained with data (images) in order to recognise an individual’s face. With there being multiple different ways of retrieving images such as using family photos or images already taken, a solution of using the Pi camera has been proposed. The former recommended way of retrieving images can be problematic as there could be multiple people within the photos and the individual must be facing the camera directly to train the frontal view. The script ‘build\_face\_dataset.py’ is ran with arguments passed using the ‘argparse’ library. The argument ‘--output’ is used to set a given location for the images to be placed. For consistency, each individual has their own folder labelled with their given name. For example, passing ‘dataset/Chris’ will store all images captured in the folder relating to the individual named Chris. The script is continually running in a while loop with each stored frame being resized in case of the frame being captured. Every time the user presses the ‘k’ key, an image is captured in ‘.jpg’ format. The loop continues infinitely until the user presses the ‘q’ key to break (see Code Fragment 1 as an example).

1. while True:

2. frame = vs.read()

3. orig = frame.copy()

4. frame = imutils.resize(frame, width=400)

5.

6. rects = detector.detectMultiScale(

7. cv2.cvtColor(frame, cv2.COLOR\_BGR2GRAY), scaleFactor=1.1,

8. minNeighbors=5, minSize=(30, 30))

9.

10. for (x, y, w, h) in rects:

11. cv2.rectangle(frame, (x, y), (x + w, y + h), (0, 255, 0), 2)

12.

13. cv2.imshow("Frame", frame)

14. key = cv2.waitKey(1) & 0xFF

15.

16. if key == ord("k"):

17. p = os.path.sep.join([args["output"], "{}.jpg".format(

18. str(total).zfill(5))])

19. cv2.imwrite(p, orig)

20. total += 1

21.

22. elif key == ord("q"):

23. break

Code Fragment 1: Python Pseudocode showing while loop used to keep script running to capture images of an individual

For the face images to be read and recognised by a face recognition algorithm, serialization must occur. Serialization is done via the Pickle class which serializes an object before writing it to a file. As this is a one-time process and not done in real-time, a Convolutional Neural Network approach is proposed. This, being a form of deep learning, is the most computationally expensive approach to face recognition, though most accurate (as shown in Section 2.8) and will be run on a separate computer to initiate the face encodings. As images rarely just contain the face of an individual, the face recognition support package is utilised before encoding the images. This library can be used to detect faces in images and crop the image so only the faces are to be encoded beforehand (shown in Figure 19).

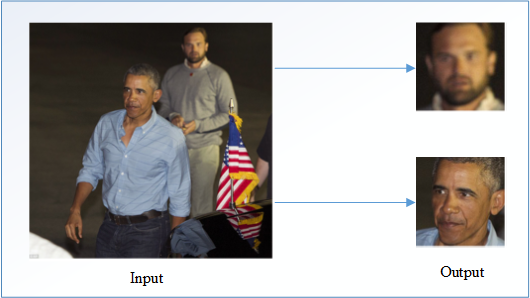


Figure 18: Example of using face\_recognition support library to receive faces

The script ‘encoded\_faces.py’ can then continually run after formatting the images, processing each image into an encoded format and assigning each image to its given folder which was created in the previous step (see Code Fragment 2).

1. for (i, imagePath) in enumerate(imagePaths):

2. print("[INFO] processing image {}/{}".format(i + 1, len(imagePaths)))

3. name = imagePath.split(os.path.sep)[-2]

4.

5. image = cv2.imread(imagePath)

6. rgb = cv2.cvtColor(image, cv2.COLOR\_BGR2RGB)

7.

8. boxes = face\_recognition.face\_locations(rgb, model=cnn)

9.

10. encodings = face\_recognition.face\_encodings(rgb, boxes)

11.

12. for encoding in encodings:

13. knownEncodings.append(encoding)

14. knownNames.append(name)

15.

Code Fragment 2: Python Pseudocode For loop cycling through all images in the 'dataset' folder

### 5.3.2 Real-Time Face Recognition

In the Literature Review, it was concluded there is no clear consensus on the optimal facial recognition algorithm for the RPi. With conflicting results both algorithms are implemented to evaluate the most effective for the RPi. Initially the pickle file ‘encodings.pickle’ is read into the script and the video stream is started. A while loop is created to infinitely loop and prevent the camera from stopping. Each frame is read into a variable with the original frame being stored in a separate variable. The frame of the actual video stream is then modified and resized using the ‘imutils’ support library. The ‘face\_recognition’ class is then reading the frame once it is converted to ‘RGB’ format and the detection method, HOG, is then used to detect if there is a face within the frame (see Code Fragment 3 for an example).

1. while True:

2. frame = vs.read()

3. orig = frame.copy()

4. rgb = cv2.cvtColor(frame, cv2.COLOR\_BGR2RGB)

5. rgb = imutils.resize(frame, width=750)

6. r = frame.shape[1] / float(rgb.shape[1])

7.

8. boxes = face\_recognition.face\_locations(rgb,

9. model=args[“detection\_method])

10. encodings = face\_recognition.face\_encodings(rgb, boxes)

11. names = []

12.

Code Fragment 3: Python Pseudocode showing frame being modified to speed up real-time processing

After a face has been detected, the script will then cycle through the face encodings created in the previous step, with the name variable being automatically assigned to “Unknown” and overwritten if the frame matches a given encoding. To ensure accuracy, a dictionary is created and added to every time a stored encoded image matches the given frame. The person with the highest count in the dictionaries name is then assigned to the name variable, with the assumption it is them.

1. for encoding in encodings:

2. matches = face\_recognition.compare\_faces(data["encodings"],

3. encoding)

4. name = “Unknown”

5.

6. if True in matches:

7. matchedIdxs = [i for (i, b) in enumerate(matches) if b]

8. counts = {}

9.

10. for i in matchedIdxs:

11. name = data["names"][i]

12. counts[name] = counts.get(name, 0) + 1

13.

14. name = max(counts, key=counts.get)

15.

16. names.append(name)

17.

Code Fragment 4: Python Pseudocode showing live facial comparison attempting face recognition in real-time

After looping through the dataset, there is a box drawn on the live image feed, around the individuals face using the OpenCV module. Text is also drawn on-screen with the default being ‘Unknown’, meaning the individual was not recognised. If there is a match from the previous step, their name is written on the screen instead. When the individual is not recognised, the original frame is captured along with the current date and time used to label the captured image. In terms of image formatting, JPG is the most suitable. Small sacrifices in image quality compared to its PNG format counterpart are a suitable trade-off for its significantly smaller size. Finally, the image path of the taken image is then passed as an argument to the publish script and the script sleeps for 10 seconds to prevent another image of the individual being sent twice.

1. for ((top, right, bottom, left), name) in zip(boxes, names):

2. top = int(top \* r)

3. right = int(right \* r)

4. bottom = int(bottom \* r)

5. left = int(left \* r)

6.

7. cv2.rectangle(frame, (left, top), (right, bottom),(0,255,0),2)

8. y = top - 15 if top - 15 > 15 else top + 15

9. cv2.putText(frame, name, (left, y), cv2.FONT\_HERSHEY\_SIMPLEX,

10. 0.75, (0, 255, 0), 2)

11.

12. if name == 'Unknown':

13. dateTime = datetime.now()

14. p = os.path.sep.join([args["output"], "{}.jpg".format(

15. str(dateTime))])

16. cv2.imwrite(p, orig)

17. subprocess.call(["python3", "/home/pi/Diss/publish.py",

18. "--img", "/home/pi/Diss/dataset/" +

19. str(dateTime) + '.jpg'])

20. time.sleep(10.0)

21.

Code Fragment 5: Python Pseudocode showing live labelling of identified/unidentified face +image being captured

The script ‘publish.py’ has been set up to be executed using the previous script ‘surveillance.py’ once an unknown face has been detected. The argument took when the script is executed is the path to the newly created image which is then converted into Base64 encoding to be sent via MQTT under the topic ‘cpd1995/surveillance’ to all connected smartphone devices. This is done utilising the Mosquitto broker under port 8883 using certificate-based authentication (see 5.4.3) to ensure the data is sent securely.

1. def publishEncodedImage():

2. with open(filename, "rb") as image\_file:

3. encoded = base64.b64encode(image\_file.read())

4. client.publish(topic,encoded,qos)

5.

6. client= paho.Client("smartapp")

7. client.tls\_set('/home/pi/ca.crt')

8. client.connect(broker, 8883)

9. publishEncodedImage()

Code Fragment 6: Python Pseudocode showing publish method for Paho Client

### 5.3.3 Power Outage Considerations

If, in the unlikely event that the Pi is forced to be rebooted, measurements are to be taken to ensure the surveillance system remains functional. This can be remedied using a shell script and Crontab, a background process that allows for execution of scripts at set times. The shell script ‘launcher.sh’ was created with the following content:

*sudo python3 surveillance.py*

Once the shell script is created, it must be incorporated into Crontab which can set the script to automatically fire after a reboot using the following command:

*@reboot sh /home/pi/MSc\_Surveillance/launcher.sh >/home/pi/logs/cronlog 2>&1*

This will force the shell script containing instructions to execute ‘surveillance.py’ upon start-up, ensuring the camera remains on at all times when the Raspberry Pi is powered on.

### 5.3.4 Security Implementation

This section details security measures specific to the Pi board along with its predominately-used operating system, Raspbian. According to the Raspberry Pi official documentation, the following are considered best practices (Raspberrypi.org, 2019) for securing the device:

Password Handling & Access Privileges

Change both the default username and password. Protocols such as SSH require both a username and password to access a remote device. The default username and password for the Pi is ‘pi’ and ‘raspberry’ for all devices. By changing these we add another layer of security by limiting the amount of information an individual with malicious intent has. Sudo command should require a password. Sudo in Linux operating systems allows commands to be run with admin privileges. By doing this a user must know the password required in order to execute commands at a higher level. This is in accordance with requirement 2.4.5.1 of the IoT Security Guidelines.

Firewall Protection

By default, the firewall available on the Raspbian operating system is not configured and must be set up by the user. A firewall is used to enforce a set of rules regarding packet transfer and network communication. Having the ability to block suspicious traffic in an essential countermeasure against network-related attacks such as Denial of Service (see 2.5.1). A recent 2018 paper highlights a rule-based approach (firewall) showing successful mitigation of multiple forms of DDoS attacks against a Raspberry Pi model, suggesting this approach being highly effective (Patel and Upadhyay, 2018).

WatchDog

When dealing with small boards such as the RPi, the possibility of the device crashing is always a possibility. A WatchDog timer allows a device to detect and recover faults and can automate actions such as rebooting the device under the pretence that the device will fail or malfunction (SwitchDoc Labs, 2014). Installing the WatchDog timer can be carried out using the following commands:

*>sudo modprobe bcm2835\_wdt*

*>echo "bcm2835\_wdt" | sudo tee -a /etc/modules*

*>sudo apt-get install watchdog*

*>sudo update-rc.d watchdog defaults*

This is implemented in accordance with Requirement 2.4.5.6 by the IoT Security Foundation.

## 5.4 Application Development

As concluded in the Technology Review section, a hybrid application development approach is taken due to the ease of developments and time constraints on the project. The framework, Xamarin, is used to develop for both the iOS and Android platforms, utilising its Model-View-ViewModel architecture. This section highlights the main functional requirements of the application.

### 5.4.1 Initial Class Diagram Model

This section attempts to detail the theoretical class diagram to be used for the mobile application. To ensure 2-factor authentication, the user must be logged in before being able to access images received via MQTT. Figure 21 highlights the many to many relationships between the images received and the users of the app. For an image to be received, the individual must be logged in, therefore the relationship will always be one to many. A user will have a zero to many relationships with the MQTT image because it can exist without an image being received and have as many as possible. The ‘MQTTMessageTransport’ class has a direct relationship with the ‘MQTTImage’ class as there can only ever be one ‘MQTTImage’ for one ‘MQTTMessageTransport’ class.



Figure 19: UML Class Diagram highlighting the relationships between the user and MQTT interaction

### 5.4.2 Account Creation and Login

To register an account, a user must enter their credentials (email address and password) and confirm their password an additional time to ensure the passwords match. To force good security standards, passwords must be at least six characters long and contain a number and special character. Passwords are always hidden from screen to prevent potential eavesdropping. Once an account has been successfully created, the user can then login using their credentials and are granted access to MQTT communication and images received by the surveillance camera. Fingerprint verification is also required to login to the app (further explained in Section 5.5.3) as a form of two-factor authentication. A SQLite database is used to store the user and passwords and is secured using hash *salt* encryption (also further expanded upon in Section 5.5.3).

### 5.4.3 Database Development

For the images to be stored and viewed by the user, SQLite has been proposed to store the image data along with the timestamp of when it was received. The image, encoded in Base64, can be stored in that format and reconstructed when requested by the user. Additionally, to grant access to the app, the user must log in, therefore an additional database must be created to store the usernames and password of each family member.

### 5.4.4 Displaying Received Images

Push notification permissions must be enabled in both iOS and Android for the user to be notified when an image is sent through MQTT. This push notification will notify the user of someone being at his or her door and once pressed will show the image of the potential intruder on screen.

### 5.4.5 Password Storage

As discussed in Section 2.6, password hashing in combination with a *salt* is considered secure password storage and protects against security threats such as brute force attacks (outlined in Section 2.5.3). The SHA algorithm was chosen for the hash component as it was determined the most secure algorithm in the Literature Review.

## 5.5 MQTT Communication

This section describes the strategies and development used to establish communication between the surveillance system and the smart phone applications. As discussed in Section 2.2, MQTT has been determined as the most suitable and reliable approach to communication between the app and the Pi. Cloud MQTT vendors have been ruled out in this experiment, to limit the scope of potential vulnerabilities. Mosquitto is selected as the broker, as it can be hosted on the RPi. The broker (server) interacts with the clients to send out messages on various topics (seen in Figure 20). As two different development languages are used, the Paho MQTT client has been selected for the Pi (using Python) and the M2Mqtt client has been selected for the smart devices (using C#). QoS 2 is used for message retention, meaning if a client is not connected when the message is sent, the message is retained and sent when the client reconnects to the broker,

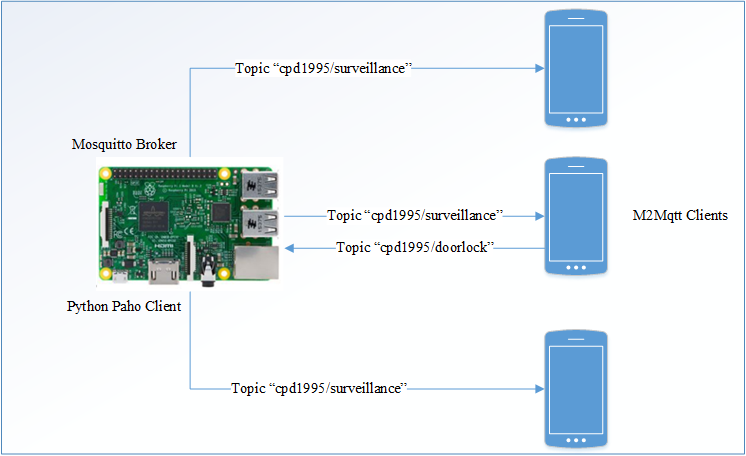


Figure 20: Mosquitto broker (RPi) interacting with Paho & M2Mqtt clients

### 5.5.1 Surveillance System Communication

Both these clients support TLS communication, which is essential in securing communication between the devices. The following settings are utilised by the Paho Client on the Pi:

*Client*: “Paho”

*Broker*: “raspberrypi”

*Client ID*: “smartphone”

*TLS*: “True”

*Port*: 8883

*QoS*: 2

*Publish Topic*: “cpd1995/surveillance”

*Subscription Topic*: “cpd1995/doorlock”

The broker name corresponds to the server certificate, being ‘raspberrypi’ in this case. The Client ID corresponds to the common name variable set when creating the Certificate. As detailed in Section 5.4.3, the communication between the devices is secured using TLS and certificate-based certification. Quality of Service 2 was selected as it was deemed most suitable for this project (see 2.3.1).

### 5.5.2 Application Communication

As displaying the images sent from the Pi model is the core functionality of the application, this is highly prioritised in terms of user interface design. For security purposes, the images within the application can only be shown while the user is logged in. The user can then view all recent images within a list view and select an image to allow for viewing the image on a larger scale.

Upon receiving an image via MQTT, the image is added to the image database and is stored in base64 format. This base64 code can then be reconstructed into an image every time an MQTTImage object is created.

1. public async void AddImageToDB(string base64)

2. {

3. await App.Database.SaveImageAsync(new MQTTImageReceived

4. {

5. dateTime = DateTime.Now,

6. ImageBase64 = base64

7. });

8. }

9.

10. private void MqttMessageTransportMessageReceived(MqttMessageTransport obj)

11. {

12. AddImageToDB(obj.Message);

13. }

Code Fragment 7: C# Pseudocode on handling messages received from MQTT

M2Mqtt was selected as a client for smartphone devices due to its compatibility with Xamarin and TLS support for Certificate-based communication. The following settings are utilised by this client:

*Client*: “M2Mqtt”

*Broker*: “raspberrypi”

*Client ID*: “smartphone”

*TLS*: “True”

*Port*: 8883

*QoS*: 2

*Subscription Topic*: “cpd1995/surveillance”

*Publish Topic*: “cpd1995/doorlock”

### 5.5.2 MQTT Communication Security

Mosquitto offers three ways to verify client authentication, being client id, username and password and client certificates, each providing additional security to the former. Every client that connects to the broker must supply a unique client id to establish connection. A client id prefix sets a restriction on the name of each client id that a connection is permitted. For example, in the Mosquitto configuration file, the variable ‘clientid\_prefixes’ could be assigned a value of ‘CPD’, meaning each client that connects to the broker must have this prefix at the beginning of their client id. This is the simplest form of client authentication, which could easily be broken if an individual becomes aware of the prefix, as there is no form of non-repudiation in this method.

Username and password authentication goes a step further, limiting connections to those who are in possession of said username and password. This, while additionally more secure than the client prefix method, is not secure. Authentication in this manner faces the same issues as the former, with a lack of non-repudiation and the fact that both the username and password is transmitted in plain text. Setting the variables ‘allow\_anonymous’ to false and ‘password\_file’ to route to the file with the password in the Mosquitto configuration file allows for this authentication to be possible. This means connections are not permitted without a valid username or password.

Payload encryption can be used in addition or as an alternative to certificate based encryption, having the advantage of the data being encrypted end-to-end and not just between the broker and client. Both symmetric and asymmetric key encryption can be used as this is carried out independently of the broker and client relationship. If symmetric key encryption and a hash algorithm were to be selected, this would match the level of message integrity outlined in Section 2.7.2, meeting the data integrity and authentication criteria in secure message transport. Additionally, asymmetric key encryption could be used, though this would not reach a state of non-repudiation as there is no third party such as a Certificate Authority to verify the sender’s identity.

As discussed in the Literature Review section, the ideal form of MQTT security is using Certificates. OpenSSL has been selected to create certificate along with RSA encryption to assist in privacy. This can then be used to generate a certificate which will be used to encrypt and authenticate the communication between the clients and the broker. This form of encryption uses TLS (Transport Layer Security Protocol) to secure the communication between the devices. As shown in Figure 21, the Certificate Authority uses a certification file (.crt) to establish communication between the client and the server. The server uses the public key and certificate to communicate with the client via TLS. Once the handshake has been confirmed by the Certificate Authority, the client can then send an encrypted message using the public key (available to anyone). The message can then only be decrypted using the corresponding private key and the message can then be read. This method addresses the three main challenges when it comes to network communication: authentication, data integrity, and non-repudiation. Data integrity is assured because of the RSA asymmetric encryption. Authentication is verified using the Certificate Authority along with non-repudiation because of the private key, meaning only the individual with the key can decrypt and sign messages.

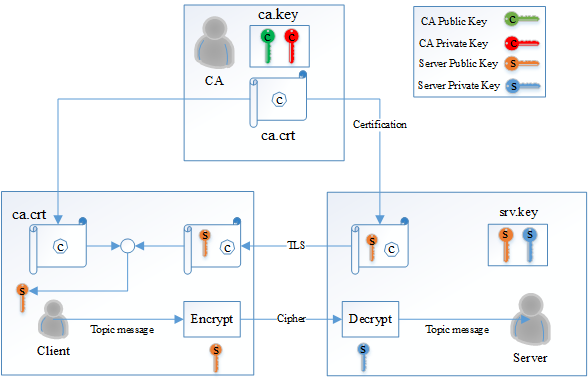


Figure 21: MQTT Communication secured using Certification and TLS

# 6.0 Evaluation

This section is used to highlight the results of the project and determine the overall success. Data analysis is performed in terms of algorithm accuracy, CPU stress, system latency (between the smartphone devices and the Pi), portability and determining how secure the model actually is.

## 6.1 Surveillance System Performance

### 6.1.1 Accuracy of Algorithms

To evaluate the accuracy of the system, multiple false-negative tests have been set up, meaning the classifier will attempt to accurately predict the individual it is trained on when they are present in sight of the camera. Both the Histogram of Gradients and Haar-Cascades algorithms are evaluated. If the classifier predicts the individual as unknown or another person, this can be considered a false negative. Figure 22 shows the contrast between these two results visually. To get a deeper understanding of the efficiency of the given algorithms, this experiment was split into three parts based on the volume of images used to train the classifier of that specific individual. One, five and ten images were used in the experiment, with the hypothesis of the greater number of images trained on an individual, the less false positives occur and thus higher accuracy achieved by the classifier.

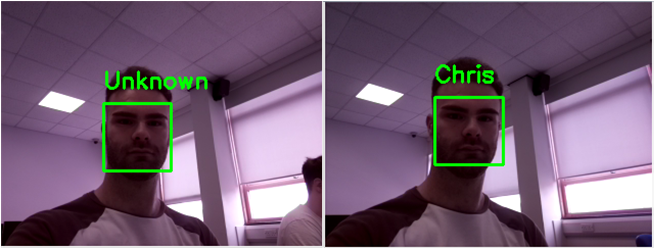


Figure 22: Difference between a false negative and true positive result in facial recognition

The results shown in Table 11 and Table 12 highlight a noticeable disparity between the two algorithms in terms of accuracy. It appears that the HOG algorithm is more accurate than the Haar-Cascades method of face recognition regardless of the images trained on the model. The data shows that regardless of the algorithm, classifier accuracy almost always increases with the number of encoded images, with diminishing returns after five images encoded. It can be concluded that the HOG algorithm is the most accurate out of the two and is best used with at least five images of the individual that wishes to be recognised. It should be highlighted here that the sample size for each experiment is low and therefore is not a true reflection of these classifiers, but rather a general guideline.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Images Encoded | True Positive | False Negative | Accuracy | Sample Size |
| 1 | 68 | 32 | 68% | 100 |
| 5 | 78 | 22 | 78% | 100 |
| 10 | 77 | 23 | 77% | 100 |

Table 11: HOG Algorithm Accuracy recorded using different volumes of encoded images

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Images Encoded | True Positive | False Negative | Accuracy | Sample Size |
| 1 | 50 | 50 | 50% | 100 |
| 5 | 55 | 45 | 55% | 100 |
| 10 | 59 | 50 | 59% | 100 |

Table 12: Haar-Cascades Algorithm Accuracy using different volumes of encoded images

### 6.1.2 Computational Stress

When it comes to small IoT devices such as the Pi, computational stress must be a factor in the development of a system that needs to run indefinitely such that of a surveillance system. As previously discussed, Deep Learning algorithms such as the Convolutional Neural Network cannot be run on a device with limited computational power and no graphics card. Two algorithms were reviewed for their suitability, one being the Haar-Cascades algorithm and the Histogram of Oriented Gradients (HOG) method. To make the experiment fair, the system ran as it would in production with no additional software running that could skew the results for both the experiments. As shown in Figure 23, there is quite a remarkable difference in CPU stress between the two algorithms, with the HOG method of face recognition consistently being less stressful on the CPU compared to the Haar-Cascades algorithm. These results were consistent regardless of the volume of images used



Figure 23: Difference in Computational Stress between the HOG and Haar algorithms

### 6.1.3 Memory Consumption

To further evaluate the performance of the algorithm, memory consumption was used as an additional variable. As shown in Figure 24, it is clear that the Haar-Cascades algorithm consumes significantly more memory than the HOG algorithm, with it consistently consuming more memory.



Figure 24: Difference in Memory Consumption between the HOG and Haar algorithms using 10 encoded images

Table 13 further highlights the performance difference between the two algorithms with HOG out performing Haar regardless of the volume of images used in terms of both CPU stress and Memory usage. It can be concluded with these results and the results of the accuracy comparison that Histogram of Gradients is the superior algorithm for this experiment. While the literature suggests that a Deep Learning approach is potentially superior, the results clearly show that the HOG algorithm is more than suitable for a face recognition surveillance system.

|  |  |  |  |
| --- | --- | --- | --- |
| Algorithm | Images Encoded | CPU Usage % (Mean) | Memory Usage % (Mean) |
| Haar | 1 | 44% | 52% |
| Haar | 5 | 40% | 69% |
| Haar | 10 | 76% | 76% |
| HOG | 1 | 26% | 72% |
| HOG | 5 | 25% | 47% |
| HOG | 10 | 33% | 67% |

Table 13: Comparison of CPU & Memory usage between the HOG & Haar algorithms

## 6.2 System Latency

Latency is an important variable in the development of a surveillance system as images must be received as quickly as possible to the recipients. To test for latency, sample images were sent every minute and the time between the sending of the image and receiving it on the smart device determines the latency.

## 6.3 Portability

## 6.4 Security

In accordance with the best practices outlined by the IoT security foundation (IoT Security Compliance Framework, 2018), the following security measures have been highlighted relating to the project:

|  |  |
| --- | --- |
| *Req. No* | *Solution* |
| 2.4.5.1 | Device has restricted access privileges with administrative commands such as ‘sudo’ being unable to be used with a password (refer to guidelines outline in Section 5.5.1) |
| 2.4.5.2 | There is no remote software upgrades to the system |
| 2.4.5.5 | The only open ports barring port 8883, which certificate authentication is required to access |
| 2.4.5.6 | A WatchDog timer has been implemented. Refer to Section 5.5.1 for additional details |
| 2.4.5.8 |  |
| 2.4.5.21 | Device communicates over MQTT. Refer to section 2.3.1 for additional details. |
| 2.4.6.1 | The command ‘sudo apt-get update && apt-get upgrade’ ensures the device has the latest security fixes |
| 2.4.6.3 | No additional accounts were created. |
| 2.4.6.4 | Limitations on access privileges have been carried out. Refer to Section 5.5.1 for additional details |
| 2.4.6.5 | Passwords are not stored on the device. |
| 2.4.6.6 | The operating system Raspbian was installed with only the software required to function |
| 2.4.6.7 | Device has restricted access privileges with administrative commands such as ‘sudo’ being unable to be used with a password (refer to guidelines outline in Section 5.5.1). |
| 2.4.6.8 | Shell and Python scripts cannot be ran without administrative privileges |
| 2.4.6.9 |  |
| 2.4.6.10 | Firewall protection is enabled. Refer to Section 5.5.1 under ‘Firewall Protection’ for additional details. |
| 2.4.6.11 | Application is completely separate from OS, with only minimal interaction through MQTT possible. |
| 2.4.7.1 | Firewall protection is enabled using firewall outlined in Section 3.5 |
| 2.4.7.4 | MQTT is currently the only support application protocol, using TCP. |
| 2.4.7.5 |  |
| 2.4.7.6 |  |
| 2.4.7.10 | WPA is disabled on authors router. |
| 2.4.7.13 |  |
| 2.4.7.18 |  |
|  |  |
| 2.4.8.4 | Ref. to 2.5.4 |
| 2.4.8.5 | Ref. to 2.5.4 |
| 2.4.8.6 | Ref. to 2.5.4 |
| 2.4.8.7 | Ref. to 3.3.1 |
| 2.4.8.8 | Ref. to 2.5.3 |
| 2.4.8.11 | No guest user accounts will be created |
| 2.4.8.12 |  |

Table 14: Proposed solutions for IoT Security best practices

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# 8.0 Appendices

## Appendix A – Raspberry Pi Specifications

|  |  |
| --- | --- |
| Specification |  |
| **SoC** | Broadcom BCM2837 |
| **CPU** | 4× ARM Cortex-A53, 1.2GHz |
| **GPU** | Broadcom VideoCore IV |
| **RAM** | 1GB LPDDR2 (900 MHz) |
| **Networking** | 10/100 Ethernet, 2.4GHz 802.11n wireless |
| **Bluetooth** | Bluetooth 4.1 Classic, Bluetooth Low Energy |
| **Storage** | microSD |
| **GPIO** | 40-pin header, populated |
| **Ports** | HDMI, 3.5mm analogue audio-video jack, 4× USB 2.0, Ethernet, Camera Serial Interface (CSI), Display Serial Interface (DSI) |